

RESOLUTION NO. 04-095

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA ADOPTING THE CONFLICT OF INTEREST CODE OF THE CITY OF SANTA BARBARA TO INCORPORATE BY REFERENCE THE FAIR POLITICAL PRACTICES COMMISSION'S MODEL CODE AND RESCINDING RESOLUTION NO. 02-130

WHEREAS, the Political Reform Act, Government Code Section 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes; and

WHEREAS, the Fair Political Practices Commission has adopted a regulation, Title 2, Division 6 of the California Code of Regulations, Section 18730, which contains the terms of a standard Conflict of Interest Code, which may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments in the Political Reform Act, and which can be incorporated by reference.

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

SECTION 1. The terms of Title 2, Division 6 of the California Code of Regulations, Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, incorporated herein by reference, along with the attached Exhibit "A" in which members and employees are designated, and Exhibit "B" in which disclosure categories are set forth, constitute the Conflict of Interest Code of the City of Santa Barbara.

SECTION 2. The City Clerk is the filing official for the Mayor, Councilmembers, City Administrator, City Attorney, and Planning Commissioners. For the persons holding these positions, the City Clerk accepts the filing, retains a copy, and forwards the original to the Fair Political Practices Commission in Sacramento. For all other persons holding designated positions, the City Clerk is the filing officer and retains the statements. The City Clerk will make the statements available for public inspection and reproduction. (Government Code Section 81008)

SECTION 3. This Resolution shall take effect January 1, 2005, and rescinds Resolution No. 02-130.

**EXHIBIT A
RESOLUTION NO. 04-095**

LIST OF DESIGNATED POSITIONS
CONFLICT OF INTEREST CODE

<u>POSITION</u>	<u>DISCLOSURE CATEGORY</u>
Councilmembers/Redevelopment Agency Members	As required by State Law
City Administrator/City Clerk/City Treasurer/ Executive Director of the Redevelopment Agency (Secretary/Treasurer)	“
City Attorney/Redevelopment Agency Counsel	“
Planning Commission Members	“
Acting Positions	Subject to the same disclosure require- ments as the position in which the person is “acting.”
Consultant *	1, 2
It has been determined that the following positions manage public investments and will file the Form 700 Statement of Economic interests with the City Clerk, who will retain the statements:	
Finance Director	As required by State Law
Fire and Police Pension Commission Members	“

- * Consultants who participate in the making of decisions on behalf of the City shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The City Administrator may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of the disclosure requirements. The City Administrator’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

DEPARTMENT**DISCLOSURE CATEGORY****City Administrator****Staff Positions:**

Assistant City Administrator	1, 2
Assistant to the City Administrator	1, 2
Assistant to the City Administrator/Organizational Development	1, 2
City Special Projects Manager	1, 2
Employee Relations Manager	1, 2

Administrative Services**Staff Positions:**

City Clerk Services Manager	1, 2
Human Resources Manager	1, 2
Information Systems Manager	1, 2

Members of the following Advisory Group:

Board of Civil Service Commissioners	1, 2
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DEPARTMENT**DISCLOSURE CATEGORY****Airport****Staff Positions:**

Administrative Analyst I, II, III	6
Airport Director	1, 2
Airport Maintenance Supervisor	6
Airport Marketing Coordinator	6
Airport Operations Manager	1, 2
Assistant Airport Director	1, 2
Associate Planner	3, 4, 6
Maintenance Coordinator	6
Project Planner	3, 4, 6
Property Management Specialist	2, 4, 6
Senior Property Management Specialist	2, 4, 6

Members of the following Advisory Group:

Airport Commission	1, 2
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City Attorney**Staff Positions:**

Assistant City Attorney	1, 2
Deputy City Attorney	1, 2

DEPARTMENT**DISCLOSURE CATEGORY****Community Development****Staff Positions:**

Administrative Analyst I, II, III	1, 2
Assistant Community Development Director/Housing and Redevelopment Manager	1, 2
Assistant Planner	3, 4, 6
Associate Plan Check Engineer	1, 2
Associate Planner	3, 4, 6
Building Inspection/Plan Check Supervisor	1, 2
Building Inspector	1, 2
Building Inspector Aide	1, 2
Chief Building Official	1, 2
City Planner	1, 2
Community Development Director/Deputy Director/Assistant Redevelopment Agency Secretary	1, 2
Community Development Programs Specialist	3, 4, 5
Community Development Programs Supervisor	3, 4, 5
Housing Loan Officer	2, 5
Housing Programs Specialist	2, 5
Housing Programs Supervisor	2, 5
Planning Technician I/II	3, 4, 6

DEPARTMENT**DISCLOSURE CATEGORY****Community Development (Continued)****Staff Positions:**

Plans Examiner	1, 2
Principal Planner	1, 2
Project Planner	1, 2
Redevelopment Specialist	2, 5
Redevelopment Supervisor	1, 2
Senior Building Inspector	1, 2
Senior Plan Check Engineer	1, 2
Senior Planner I, II	1, 2
Senior Plans Examiner	1, 2

Members of the following Advisory Groups:

Architectural Board of Review	1, 2
Arts Advisory Committee	1
Building and Fire Code Board of Appeals	1, 2
Community Development and Human Services Committee	1, 2
Community Events and Festivals Committee	1, 2
Historic Landmarks Commission	1, 2
Sign Committee	1, 2

DEPARTMENT**DISCLOSURE CATEGORY****Finance****Staff Positions:**

Accounting Manager	1
Assistant Finance Director	1, 2
Budget Manager	1
Buyer	1
Finance Supervisor (General Services)	1
General Services Manager	1
Risk Manager	1
Treasury Manager	1

Fire**Staff Positions:**

Administrative Services Manager	2, 3, 6
Deputy Fire Chief	1, 2
Fire Battalion Chief	2, 3, 6
Fire Chief	1, 2
Fire Inspector I, II, III	2, 3, 6

Library**Staff Positions:**

Library Director	1, 2
Library Services Manager	6

DEPARTMENT**DISCLOSURE CATEGORY****Parks and Recreation****Staff Positions:**

Administrative Assistant	6
Arborist	6
Assistant Parks and Recreation Director	1, 2
Associate Planner	6
Community Services Supervisor	1, 2
Creeks Restoration/Clean Water Manager	6
Golf Course Superintendent	6
Landscape Architect/Project Coordinator	6
Parks and Recreation Business Manager	3, 6
Parks and Recreation Director	1, 2
Parks Superintendent	1, 2
Recreation Programs Manager	6

Members of the following Advisory Groups:

Board of Park Commissioners	1, 2
Creeks Advisory Committee	1, 2
Recreation Commission	1, 2

DEPARTMENT**DISCLOSURE CATEGORY****Police****Staff Positions:**

Deputy Police Chief	1, 2
Police Business Manager	3, 6
Police Captain	3, 6
Police Chief	1, 2
Police Information Technology Manager	6
Police Lieutenant	3, 6
Police Records Manager	6

Members of the following Advisory Group:

Fire and Police Commission	1, 2
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Public Works**Staff Positions:**

Assistant Public Works Director/ City Engineer	1, 2
Assistant Transportation Planner	3, 4, 6
Associate Transportation Planner	3, 4, 6
Facilities Construction Superintendent	6
Facilities Manager	3, 6
Parking/TMP Superintendent	3, 4

DEPARTMENT**DISCLOSURE CATEGORY****Public Works (Continued)****Staff Positions:**

Principal Engineer	1, 2
Project Engineer I, II	3, 4, 6
Public Works Administrative Officer	3, 4, 6
Public Works Director	1, 2
Public Works Inspector II	3, 4
Real Property Agent	2, 4, 6
Senior Engineering Technician (Land Development)	3, 4, 6
Senior Public Works Inspector	3, 4
Senior Real Property Agent	2, 4, 6
Senior Traffic Technician	3, 6
Streets Maintenance Superintendent	2, 4, 6
Supervising Engineer	3, 4, 6
Supervising Transportation Engineer	3, 4, 6
Supervising Transportation Planner	1, 2
Survey Party Chief	3, 6
Transportation Engineering Associate	3, 4, 6
Transportation Manager	3, 4, 6
Wastewater Collection System Superintendent	2, 4, 6
Wastewater System Manager	3, 6
Wastewater Treatment Superintendent	2, 4, 6

DEPARTMENT**DISCLOSURE CATEGORY****Public Works (Continued)**

Water Distribution Superintendent	2, 4, 6
Water Resources Manager	2, 4, 6
Water Resources Supervisor	2, 4, 6
Water Supply Manager	2, 4, 6
Water Supply Planner	4, 5
Water System Manager	2, 4, 6
Water Treatment Superintendent	2, 4, 6

Members of the following Advisory Groups:

Board of Water Commissioners	1, 2
Downtown Parking Committee	1, 2
Transportation and Circulation Committee	1, 2

Waterfront**Staff Positions:**

Administrative Analyst I, II, III	3, 6
Harbor Operations Manager	3, 6
Harbor Patrol Supervisor	3, 6
Maintenance Supervisor I, II	3, 6
Property Management Specialist	2, 3, 4, 6

DEPARTMENT**DISCLOSURE CATEGORY****Waterfront (Continued)**

Waterfront Business Manager	2, 3, 4, 6
Waterfront Director/Harbormaster	1, 2
Waterfront Facilities Manager	3, 6
Waterfront Maintenance Superintendent	3, 6
Waterfront Parking Supervisor	3, 6

Members of the following Advisory Group:

Harbor Commission	1, 2
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EXHIBIT B
RESOLUTION NO. 04-095

CITY OF SANTA BARBARA
DISCLOSURE CATEGORIES

General Provisions

When a designated employee or individual is required to disclose investments, business positions and sources of income, he or she need only disclose investments in business entities and sources of income which do business in the City, plan to do business in the City or have done business in the City within the past two (2) years. In addition to other activities, a business entity is doing business within the City if it owns real property within the City. When a designated employee or individual is required to disclose real property he or she need only disclose that which is located in whole or in part within or not more than two (2) miles outside the boundaries of the City or within two (2) miles of any land owned or used by the City.

Designated employees or individuals shall disclose their financial interest pursuant to the appropriate disclosure category as indicated in Exhibit "A".

Disclosure Categories

- Category 1: All investments, business positions and sources of income. See Schedules A-1, A-2, B, C, D, E, and F to the Statement of Economic Interests.
- Category 2: All interests in real property. See Schedule B.
- Category 3: All investments, business positions, interests in real property and sources of income subject to the regulatory, permit or licensing authority of the department. See Schedules A-1, A-2, B, C, D, E, and F.
- Category 4: Investments in business entities and sources of income which engage in land development, construction or the acquisition of real property. See Schedules A-1, A-2, B, C, D, E, and F.
- Category 5: Investments in business entities and sources of income of the type which contracts with the City to provide services, supplies, materials, machinery or equipment to any City department. See Schedules A-1, A-2, B, C, D, E, and F.
- Category 6: Investments in business entities and sources of income of the type which contracts with the City to provide to the designated employee's department services, supplies, materials, machinery or equipment. See Schedules A-1, A-2, B, C, D, E, and F.